

# Xbp1-mediated histone H4 deacetylation contributes to DNA double-strand break repair in yeast

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**Xbp1 has been shown to regulate the cell cycle as a transcriptional repressor in budding yeast *Saccharomyces cerevisiae*. In this study, we demonstrated that Xbp1 regulates DNA double-strand break (DSB) repair in *S. cerevisiae*. Xbp1 physically and genetically interacts with the histone deacetylase Rpd3 complex. Chromatin immunoprecipitation revealed that Xbp1 is required for efficient deacetylation of histone H4 flanking DSBs by the Rpd3 complex. Deletion of *XBPI* leads to the delayed deacetylation of histone H4, which is coupled with increased nucleosome displacement, increased DNA end resection and decreased non-homologous end-joining (NHEJ). In response to DNA damage, Xbp1 is upregulated in a Mec1-Rad9-Rad53 checkpoint pathway-dependent manner and undergoes dephosphorylation. Cdk1, a central regulator of *S. cerevisiae* cell cycle, is responsible for Xbp1 phosphorylation at residues Ser146, Ser271 and Ser551. Substitution of these serine residues with alanine not only increases the association of Xbp1 with the Rpd3 complex and its recruitment to a DSB, but also promotes DSB repair. Together, our findings reveal a role for Xbp1 in DSB repair via NHEJ through regulation of histone H4 acetylation and nucleosome displacement in a positive feedback manner.**

**Keywords:** yeast; Xbp1; double-strand break; DNA repair; H4 acetylation

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## Introduction

DNA double-strand breaks (DSBs) can be induced by a variety of extracellular and intracellular insults, such as reactive oxygen species and ionizing radiation. If they remain un-repaired, such DSBs can lead to genome instability [1]. In response to DSBs, the DNA damage checkpoint in budding yeast *Saccharomyces cerevisiae* arrests cells at the G2/M phase [2]. The checkpoint is initiated by the recruitment of multiple checkpoint components to the DSBs, including two sensor kinases Mec1 and Tel1 (ATR and ATM in mammals, respectively) [3-

5]. Once recruited to the DNA, Mec1 phosphorylates a subset of targets, including Ddc2 (ATRIP) and Rad9 [6-8]. Rad9 is an adapter protein mediating the activation of effector kinases Rad53 and Chk1 by Mec1 [3, 9]. A third sensor, the 9-1-1 clamp, which is made up of Rad17, Mec3 and Ddc1, also promotes Rad9 phosphorylation and Rad53 phosphorylation by Mec1. The DNA damage signaling eventually leads to phosphorylation of histone H2A (H2AX in mammals), recruitment of chromatin remodelers, including the Ino80, Rvb1, NuA4 and Swr1 complexes, to the DSBs, cell cycle arrest and global transcriptional response [3, 10]. Eukaryotic cells mainly employ two approaches to repair DSBs: non-homologous end-joining (NHEJ) and homologous recombination (HR). NHEJ entails the direct rejoining of the broken ends of DNA, whereas HR needs a template to repair the break [11].

Histone modification, such as reversible acetylation,

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Xbp1 can be phosphorylated by Cdk1 at Ser146, Ser271 and Ser551, and DNA damage-induced dephosphorylation probably facilitates its association with the Rpd3 complex and recruitment to a DSB site, which in turn promotes DSB repair.

## Results

### *Xbp1 is required for cell survival upon methylmethane sulfonate (MMS)-induced DSBs*

*S. cerevisiae* DNA repair-related candidate genes identified by bioinformatics approaches were tested for their contribution to cell survival in the presence of DNA damage agents MMS, hydroxyurea (HU) and 4-nitroquinoline-1-oxide (4-NQO) when each of these genes was deleted. Alkylating agent MMS induces DSBs during replication [31, 32]. HU, a ribonucleotide reductase inhibitor, inhibits replication and induces formation of DSBs [33]. The 4-NQO can mimic UV radiation and generate DNA lesions that are removed mainly by nucleotide excision repair (NER) [34]. We found that deletion of *XBPI* (*xbp1Δ*), one of the test candidates, endowed sensitivity to MMS as shown by measuring the growth rate at 5-fold serial dilution (Figure 1A and data not shown), and that re-introduction of Xbp1 with a low-copy vector into *xbp1Δ* cells fully suppressed this sensitivity. In contrast, deletion of *XBPI* had no effect on cell survival in the presence of HU and 4-NQO. Because the 4-NQO-induced damage is mainly repaired by NER, the insensitivity of *xbp1Δ* cells to 4-NQO suggests no role of Xbp1 in NER. As shown before, deletion of *RAD52*, which plays important roles in all known pathways of HR as a recombination mediator [35], endowed the cells strong sensitivity to all these agents tested.

To investigate whether the sensitivity to MMS of *xbp1Δ* cells is a result of its role in MMS-induced checkpoint signaling, we examined the Rad53 phosphorylation level, an indicator of checkpoint activation, and found that *XBPI* deletion does not affect MMS-induced Rad53 phosphorylation, while deletion of *RAD17*, a component in 9-1-1 clamp [3], resulted in reduced Rad53 phosphorylation (Figure 1B). Taken together, these results suggested that Xbp1 is required for MMS-induced DSB repair, but does not function in MMS-induced checkpoint signaling.

### *Xbp1 physically and functionally interacts with the Rpd3 HDAC complex*

To gain insight into the molecular mechanism of Xbp1 in DSB repair, we tried to identify interacting proteins of Xbp1 by employing tandem affinity purification (TAP) with epitope-tagged Xbp1 and mass spectrometry. Ume1 and Sin3, components of the Rpd3 HDAC complex,

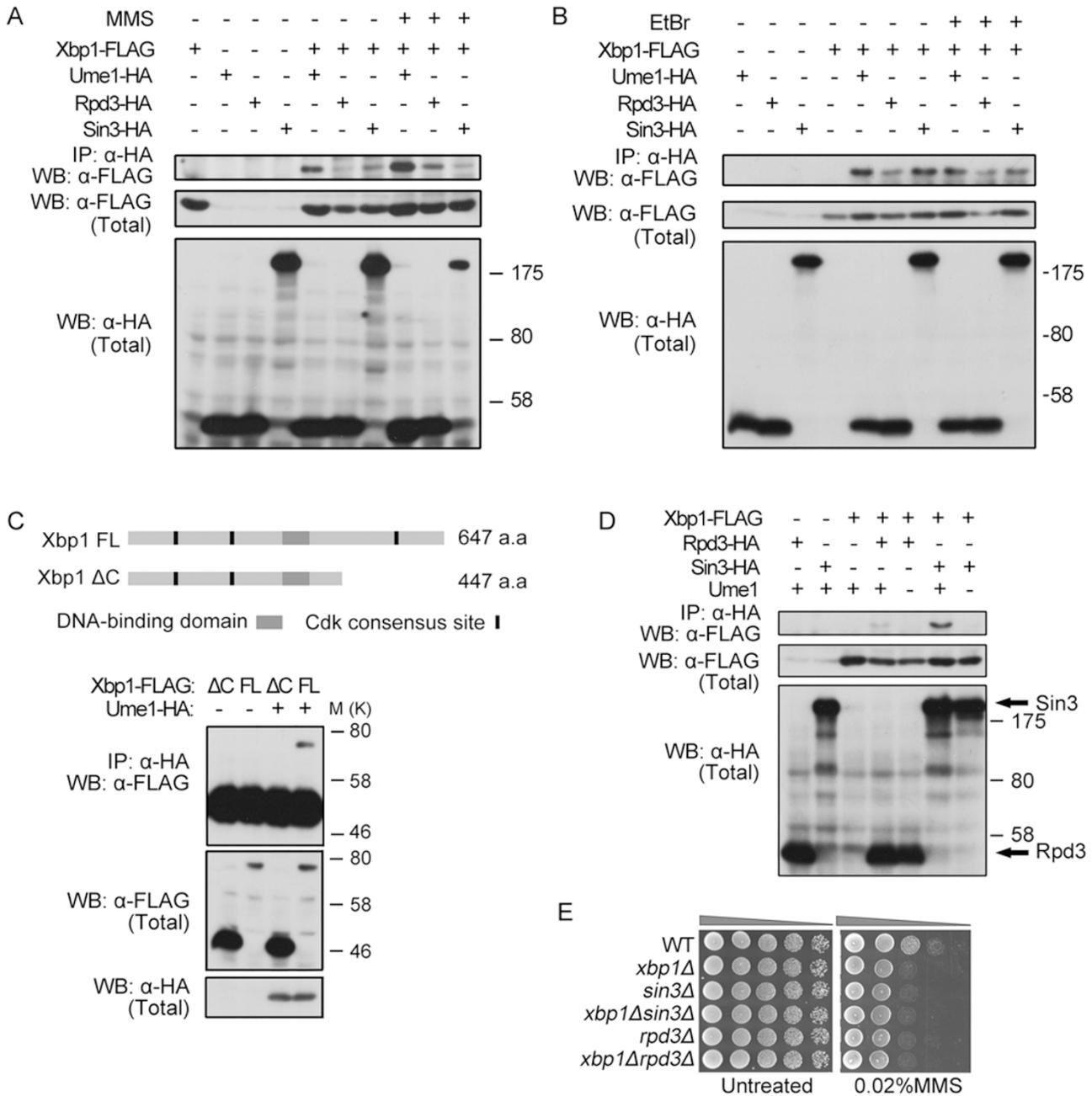
were among the potential interacting proteins. Two components of this complex, Sin3 and Rpd3, were previously implicated in DSB repair mediated by both NHEJ and HR via regulating the acetylation of histone N-terminal tails [22, 23]. Therefore, we wanted to determine whether Xbp1 interacts with the Rpd3 complex and then contributes to DSB repair by regulating histone acetylation via the Rpd3 complex. To this purpose, we tagged the HA epitope to the C-terminus of Ume1, Rpd3 and Sin3, three common subunits shared by both Rpd3L and Rpd3S and the epitope FLAG to the C-terminus of Xbp1 in WT, Ume1-HA, Rpd3-HA and Sin3-HA cells. Cell lysates of untreated or MMS-treated cells expressing Ume1-HA, Rpd3-HA, Sin3-HA and/or Xbp1-FLAG were subjected to anti-HA immunoprecipitation followed by anti-FLAG immunoblotting. As shown in Figure 2A, Xbp1 could interact with Ume1, Rpd3 and Sin3. Moreover, MMS treatment seemed to enhance this interaction. The relative weak interaction between Xbp1 and Sin3 in the presence of MMS might be owing to the decreased expression of Sin3. These interactions were insensitive to ethidium bromide, which interferes with DNA-protein interactions [36, 37], indicating that these interactions are not bridged by DNA (Figure 2B).

The fact that Xbp1 has a strong interaction with Ume1 raised the possibility that Xbp1 may interact with the Rpd3 complex through Ume1. To test this idea, we examined protein interactions in the *UME1* deletion strain and found that deletion of *UME1* abolished both Xbp1-Rpd3 and Xbp1-Sin3 interaction (Figure 2D), indicating that the interaction between Xbp1 and the Rpd3 complex is probably mediated by Ume1. Furthermore, deletion of the C-terminal 200 amino acids of Xbp1 eliminated the Xbp1-Ume1 interaction (Figure 2C), suggesting that the C-terminus of Xbp1 is important for the Xbp1-Ume1 interaction.

We then investigated whether the DSB repair function of Xbp1 depends on the Rpd3 complex. *XBPI* was deleted in *rdp3Δ* or *sin3Δ* background, yielding *xbp1Δrdp3Δ* and *xbp1Δsin3Δ* cells. As shown in Figure 2E, *xbp1Δ* cells were sensitive to MMS compared with wild-type (WT) cells. *rdp3Δ* and *sin3Δ* cells showed similar sensitivity to *xbp1Δ* cells. Interestingly, *xbp1Δrdp3Δ* and *xbp1Δsin3Δ* cells showed no more sensitivity than *xbp1Δ*, *rdp3Δ* or *sin3Δ* cells, suggesting that the function of Xbp1 in MMS-induced DSB repair is dependent on the Rpd3 complex.

### *Xbp1 promotes deacetylation of histone H4 flanking DSBs through the Rpd3 complex*

Considering that Xbp1 can physically and functionally interact with the Rpd3 complex, we wanted to test whether Xbp1 has a role in regulating histone acetylation



**Figure 2** Xbp1 physically and functionally interacts with the Rpd3 complex that plays an important role in DSB repair. **(A)** Xbp1 interacts with Ume1, Rpd3 and Sin3. Cell extracts of untreated or MMS-treated cells expressing Ume1-HA, Rpd3-HA, Sin3-HA and/or Xbp1-FLAG were subjected to anti-HA immunoprecipitation and then anti-FLAG immunoblotting to detect protein interaction (upper panel), or to direct immunoblotting to verify protein expression (middle and lower panels). **(B)** The interaction between Xbp1 and the Rpd3 complex is insensitive to ethidium bromide. Ethidium bromide (100  $\mu$ g/ml; final concentration) was added as indicated to interfere with DNA protein interactions. **(C)** The C-terminus of Xbp1 is important for the Xbp1-Ume1 interaction. Full-length (FL) and C-terminus deletion ( $\Delta$ C) Xbp1 proteins are shown on the top. Gray rectangle indicates DNA-binding domain and black vertical bar stands for the CDK consensus phosphorylation site. **(D)** Deletion of *UME1* abolishes the interaction between Xbp1 and Rpd3 or Sin3. Immunoprecipitations in **B-D** were performed similarly as in **A**. **(E)** The DSB repair function of Xbp1 depends on Rpd3 and Sin3. Indicated yeast cells were spotted at 5-fold serial dilutions on YPD plates containing MMS. A typical experiment was shown from at least three experiments.

through the Rpd3 complex, which may contribute to the DSB repair function of Xbp1. The Rpd3 complex is one of the major HDAC complexes in *S. cerevisiae* and deletion of *RPD3* results in histone H3 and H4 hyperacetylation [15, 38]. To examine whether Xbp1 influences histone acetylation, we explored global acetylation level of histone H3 or H4 in WT and *xbp1Δ* cells with or without MMS treatment with polyclonal antibodies, which recognizes acetylated histone H3 or H4 on multiple lysine residues. We found that deletion of *XBPI* affected neither histone H3 acetylation nor H4 acetylation regardless MMS treatment (Supplementary information, Figure S1A).

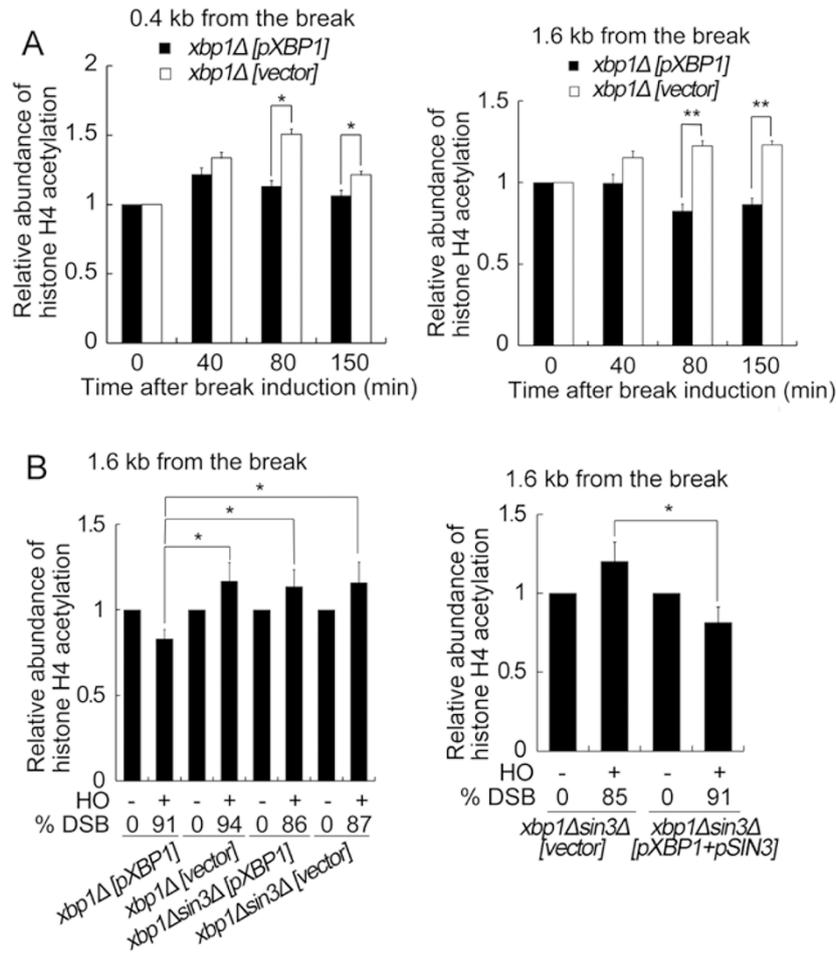
The finding that Sin3 acts to deacetylate lysine 16 of histone H4 near a DSB site [22] prompted us to investigate whether Xbp1 also modulates histone acetylation near the DSB lesions. We employed a HO-induced single chromosomal DSB system in JKM179, which has deletion of the *HML* and *HMR* loci and expresses HO endonuclease under the control of the galactose inducible promoter [39]. As *XBPI* harbors several mutations in this strain (our sequencing results, data not shown) and these mutations may compromise Xbp1 function, we thus re-introduced an empty vector or WT *XBPI* containing two FLAG tags at its C-terminus under the control of its own promoter to this strain after removing the original *XBPI*. To observe the kinetics of histone H4 acetylation, we collected samples at 0, 40, 80 and 150 min after the HO endonuclease was induced, chromatin immunoprecipitation (ChIP) assay was then performed with anti-acetyl-histone H4 or anti-histone H4 antibodies, followed by quantitative PCR analysis. The primers that specifically amplify the region 0.4 or 1.6 kb upstream of the HO recognition site on chromosome III were used to examine acetylation near the HO site. Histone acetylation was measured as the ratio of the HO signals (derived from HO primers PCR) to the control signals (derived from control primers PCR) after normalized to the abundance of histone H4. In agreement with previous studies [6, 40], we observed transient hyperacetylation of histone H4 followed by deacetylation in the region 0.4 kb upstream of the break (Figure 3A). We also noticed that the deacetylation was weaker than that observed in previous studies. That could be due to the usage of different anti-acetyl-histone H4 antibody. In this study, we used an antibody that recognizes acetylated histone H4 on 5, 8, 12 and 16 lysine residues, so the acetyl histone H4 level observed could represent the comprehensive effect of these residues. Interestingly, in both the 0.4 and 1.6 kb region, the acetylation level of histone H4 appeared higher in *XBPI* deletion cells than in *xbp1Δ* cells with re-introduction of *XBPI*. Quantitative PCR analysis revealed no significant difference in the extent of DSB induction in these strains,

as shown by the percentage of intact *MAT* locus (Supplementary information, Figure S1B). These results suggest a role of Xbp1 in promoting deacetylation of local histone H4 flanking DSB sites.

To test whether Xbp1-mediated deacetylation of histone H4 is dependent on the Rpd3 complex, we made double knockout of the *XBPI* and *SIN3* genes, and then introduced empty vector, the *XBPI* and/or the *SIN3* construct to the *xbp1Δ* or *xbp1Δsin3Δ* cells. As the increase in histone H4 acetylation level is more significant and persistent in the 1.6 kb region than the 0.4 kb region in *xbp1Δ* cells (Figure 3A), the acetylation level of histone H4 in the 1.6 kb region was then determined by ChIP assay after inducing the HO endonuclease for 150 min. In accordance with the data in Figure 3A, re-introduction of *XBPI* accelerated deacetylation of histone H4 in *xbp1Δ* cells. *XBPI* re-introduction had no effect in *xbp1Δsin3Δ* cells, however, expression of both *XBPI* and *SIN3* accelerated deacetylation of histone H4 in *xbp1Δsin3Δ* cells, indicating that the Xbp1 function depends on Sin3 (Figure 3B). Based on all these observations, we conclude that Xbp1 mediates deacetylation of histone H4 near DSBs and the deacetylation is dependent on the Rpd3 complex.

#### *Xbp1 is required for efficient NHEJ and can be recruited to the vicinity of DSBs*

Sin3 and Rpd3 have been reported to affect NHEJ, as their deletion led to a defect in religation of linearized plasmids [22]. To test whether Xbp1 is also involved in NHEJ, we performed plasmid religation assay as described previously [41, 42]. Briefly, the yeast centromere plasmid pRS313 was linearized by *EcoRI* in a region with no significant homology to the yeast genome. Yeast cells were then transformed in parallel with limiting amounts of cut or uncut plasmid DNA. Because plasmid maintenance requires religation of the linear plasmids, the relative transformation efficiency obtained with linear versus circular plasmid DNA can reflect the NHEJ activity of the yeast cells tested. The results in Figure 4A showed that *RPD3* and *SIN3* deletion impaired the religation efficiency, and *YKU70* deletion almost completely blocked religation. *xbp1Δ* cells exhibited similar religation efficiency as *rdp3Δ* and *sin3Δ* cells, indicating that they may function together. We noticed that the decrease of religation efficiency in *rdp3Δ* and *sin3Δ* cells was mild compared with previous report [22], which might be because of the different religation system used in this study. However, blockage of religation by *YKU70* deletion clearly indicates that our system worked well. We also examined the kinetics of NHEJ in JKM179 cells with or without Xbp1 and found that re-introduction of Xbp1 accelerated NHEJ repair (Figure 4B). These lines of evidence support a role of Xbp1 in NHEJ repair.



**Figure 3** Xbp1 mediates deacetylation of histone H4 flanking DSBs. **(A)** Xbp1-dependent deacetylation of histone H4. The *xbp1Δ* JKM179 cells expressing Xbp1-FLAG under control of its native promoter in pRS315 or empty vector were cultured to exponential phase in raffinose-containing SD-Leu medium. Samples were collected at 0, 40, 80 and 150 min after HO endonuclease was induced by adding 2% galactose. ChIP with antibodies, which can recognize histone H4 or acetylated histone H4 on lysines 5, 8, 12 and 16, was carried out followed by quantitative PCR. Data were quantitated as described in Materials and Methods. **(B)** Xbp1-dependent deacetylation of histone H4 relies on Sin3. ChIP was performed with *xbp1Δ* and *xbp1Δsin3Δ* strains containing Xbp1-FLAG under control of its native promoter in pRS315, Sin3 under control of its native promoter in pRS314 or empty vector. Samples were collected after HO endonuclease was induced for 150 min. ChIP was performed as in **A**, and data were quantitated as described in Materials and Methods. DSB induction efficiency determined by quantitative PCR was indicated. The asterisks indicate a statistically significant difference, which was analyzed by Student's *t*-test, and data are presented as mean  $\pm$  SEM ( $n = 3$ , \* $P < 0.05$ ; \*\* $P < 0.01$ ). A typical experiment was shown from at least three experiments.

Xbp1 has been identified as a DNA-binding protein [26]. In agreement with its role in regulating histone H4 acetylation, we also detected more than 2-fold enrichment of Xbp1 protein in the 0.4 kb region upstream of the HO-induced DSBs (Figure 4C). Interestingly, the kinetics of recruitment of Rpd3 is similar to that of Xbp1, further suggesting that they may function together. Next, we asked whether Xbp1 recruits the Rpd3 complex to DSBs or vice versa. ChIP assays showed that the recruitment of Xbp1 to a DSB site was decreased in the absence

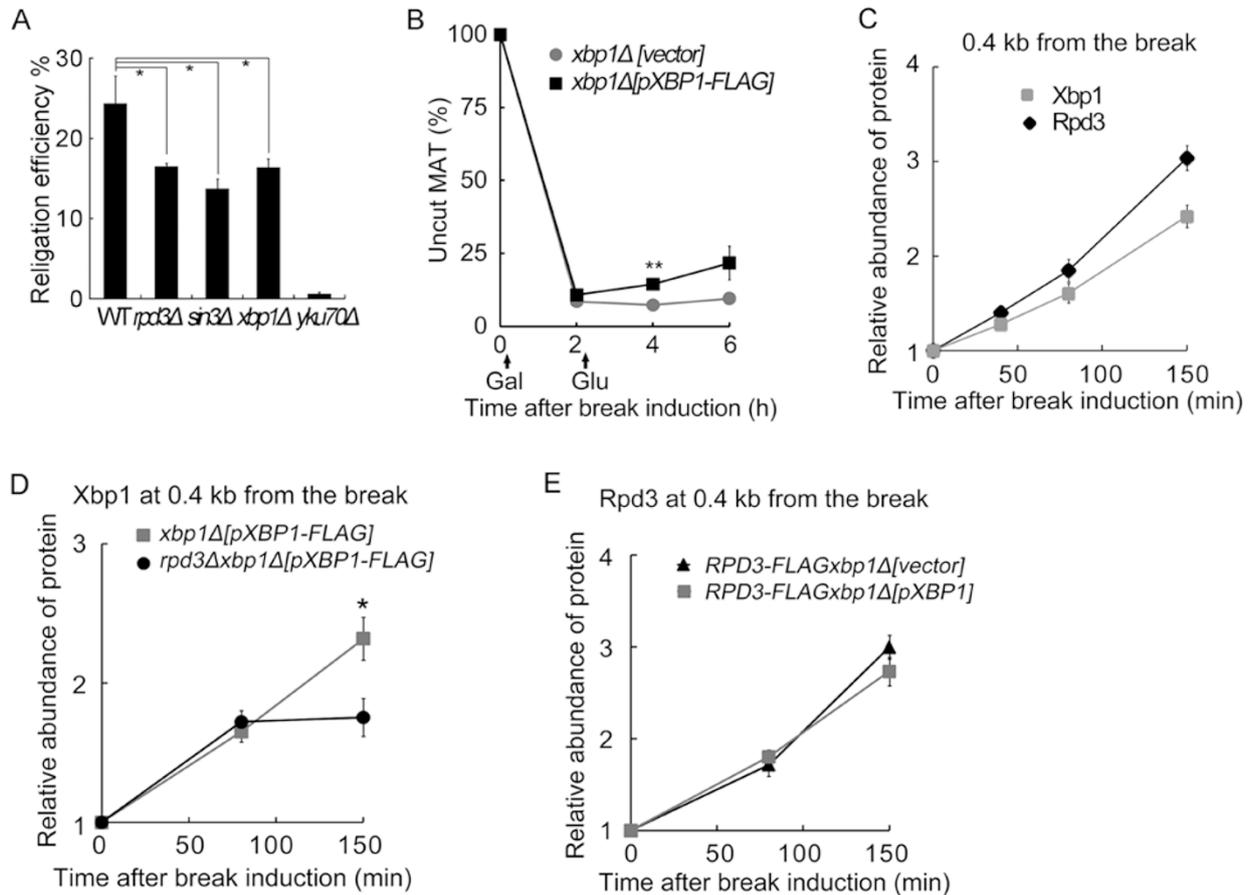
of RPD3, while XBP1 deletion had no effect on the recruitment of Rpd3 (Figure 4D and 4E and Supplementary information, Figure S2A, S2B), suggesting that the Rpd3 complex can promote the recruitment of Xbp1 to DSBs. Quantitative PCR analysis revealed no significant difference in the extent of DSB induction in these experiments (Supplementary information, Figure S2C and S2D). These data indicate that the Rpd3 complex facilitates the DSB binding of Xbp1, which in turn promotes histone H4 deacetylation by the Rpd3 complex and subsequently

increases NHEJ repair.

*Xbp1 slows down nucleosome displacement concomitantly with decreased DNA end resection*

Histone acetylation controls chromatin assembly and

disassembly processes [6, 24, 43]. To test whether Xbp1-mediated deacetylation of histone H4 affects nucleosome displacement, we employed ChIP to measure the abundance of histone H4 flanking the DSBs. As shown in Figure 5A and 5B, in response to DSBs, histone H4 was



**Figure 4** Xbp1 is required for efficient NHEJ and can be recruited to a region near a DSB. **(A)** Xbp1 is required for efficient DNA religation. WT, *rpd3Δ*, *sin3Δ*, *xbp1Δ* and *yku70Δ* cells were transformed in parallel with limiting amounts of *Eco*RI-linearized pRS313 plasmids or mock-digested circular pRS313 plasmid. Religation efficiency was calculated as described in Materials and Methods. **(B)** Re-introduction of Xbp1 accelerates NHEJ repair. The *xbp1Δ* cells in the JKM179 background expressing Xbp1-FLAG under control of its native promoter in pRS314 or empty vector were cultured to the exponential phase in raffinose-containing SD-Trp medium. HO endonuclease was induced by addition of galactose for 2 h. Glucose was then added to turn off the expression of HO endonuclease, and cells were allowed to grow for another 4 h. Samples were collected at 0, 2, 4 and 6 h after HO endonuclease was induced. Data were quantitated as described in Materials and Methods. **(C)** Xbp1 and Rpd3 are similarly recruited to a region near a DSB. The *xbp1Δ* cells in the JKM179 background expressing Xbp1-FLAG under control of its native promoter in pRS315 or Rpd3-FLAG cells were cultured to exponential phase in raffinose-containing SD-Leu medium (Xbp1) or YEP-lactate (Rpd3). Samples were collected at 0, 40, 80 and 150 min after HO endonuclease was induced. ChIP with anti-FLAG antibodies was carried out followed by quantitative PCR. **(D, E)** The Rpd3 complex promotes the recruitment of Xbp1 to DSB. The *xbp1Δ* or *rpd3Δxbp1Δ* cells expressing Xbp1-FLAG under control of its native promoter in pRS314 or *RPD3-FLAGxbp1Δ* cells expressing Xbp1 under control of its native promoter in pRS314 or empty vector were cultured to the exponential phase in raffinose-containing SD-Trp medium. Samples were collected at 0, 80 and 150 min after HO endonuclease was induced. ChIP was performed as in C, and data were quantitated as described in Materials and Methods. The asterisks indicate a statistically significant difference, which was analyzed by Student's *t*-test, and data are presented as mean  $\pm$  SEM ( $n = 3$ ,  $*P < 0.05$ ;  $**P < 0.01$ ). A typical experiment was shown from at least three experiments.

quickly removed from both the 0.4 and 1.6 kb regions upstream of the HO-induced breaks. *XBPI* deletion accelerated histone H4 removal. As histone removal usually accompanies with single-stranded DNA (ssDNA) formation [44, 45], we then examined whether Xbp1 also affects ssDNA formation by quantitative amplification of ssDNA (QAOS) analysis. As shown in Figure 5C, *XBPI* deletion enhanced ssDNA formation. These data revealed that *XBPI* deletion led to increased histone H4 acetylation, elevated histone removal and increased DNA end resection, suggesting that Xbp1-mediated deacetylation of histone H4 may protect the DNA ends by slowing down nucleosome displacement and DNA end resection and then promote NHEJ repair.

#### DNA damage induces Xbp1 dephosphorylation

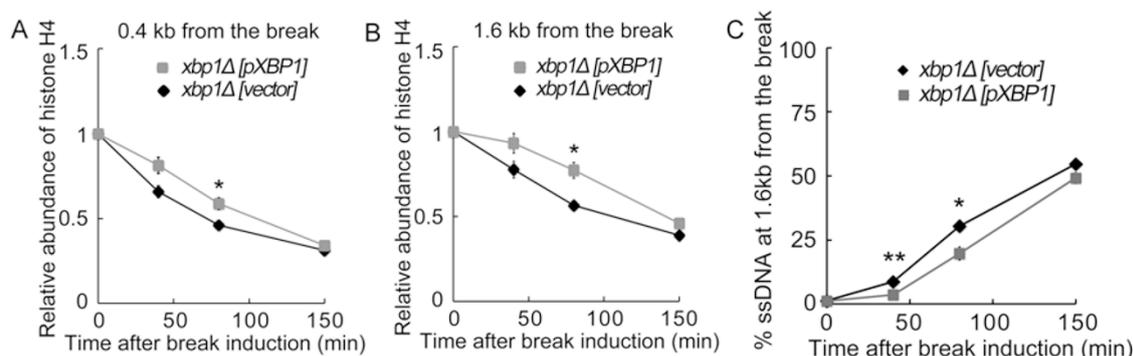
Xbp1 was recognized as a potential Cdk1 substrate in a screen searching for direct Cdk1 substrates in budding yeast [29]. When *XBPI* was expressed under the control of the *ADH* promoter instead of its own promoter to prevent the induction of Xbp1 by these DNA damage agents (see below), we noticed that the band of Xbp1 protein appeared as a smear on SDS-PAGE gels, and the smear was reduced under the treatment of MMS and HU but not phleomycin (PHL; Figure 6A). These findings together suggest that Xbp1 protein probably undergoes post-translational modification, most likely phosphorylation. Indeed, calf intestinal alkaline phosphatase (CIAP) significantly reduced the upper part of the Xbp1 band, confirming that the smear is due to phosphorylation (Figure 6B), which suggests that DNA damage provokes

dephosphorylation of Xbp1.

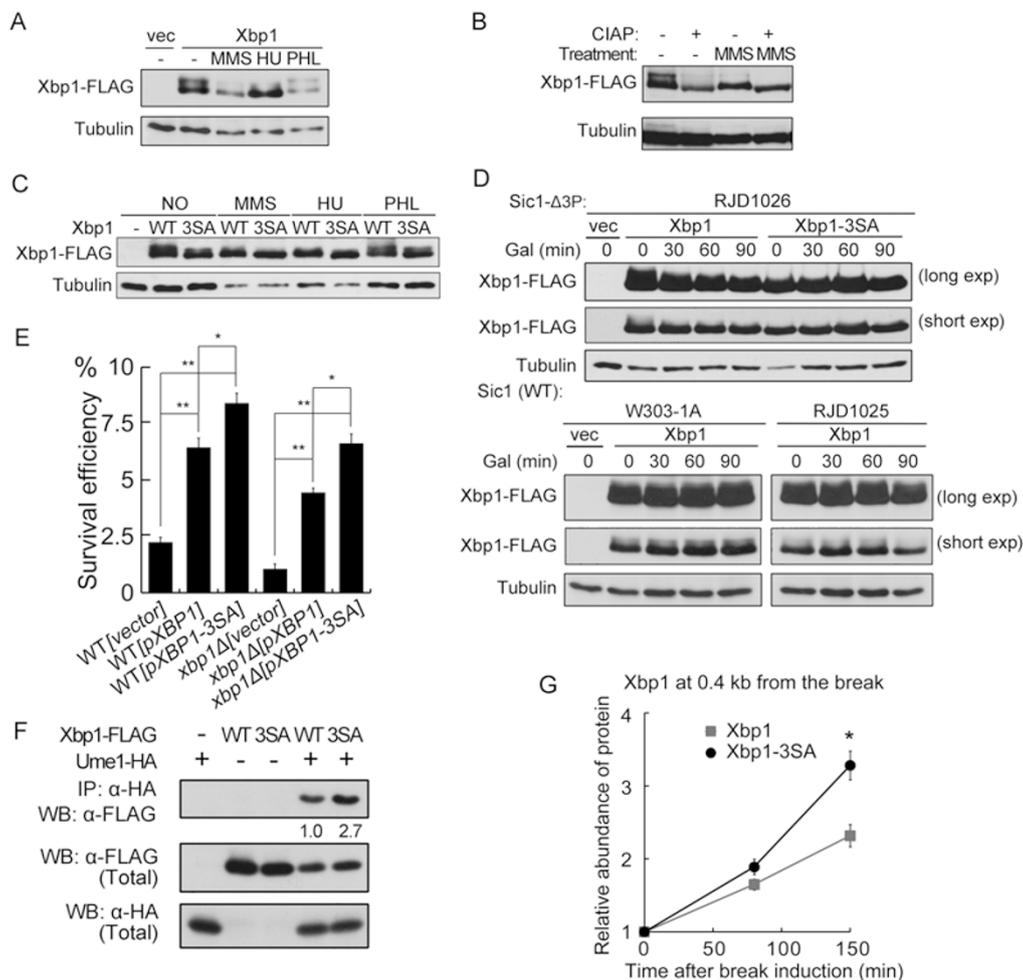
#### Cdk1-mediated phosphorylation of Xbp1 regulates its DSB repair activity

Xbp1 protein contains three Cdk consensus phosphorylation sites (S/T\*-P-x-K/R) [29]. To determine whether the serine residues in these consensus sites contribute to the phosphorylation of Xbp1, we substituted these serine residues (Ser146, Ser271 and Ser551) with alanine (3SA mutant), and examined its smear status by SDS-PAGE. As shown in Figure 6C, 3SA mutation severely diminished the smear of Xbp1, indicating that these serine residues make a major contribution to the smear status, i.e., the phosphorylation of Xbp1. Of note, both MMS and HU could further sharpen the band of 3SA, suggesting that there may be other phosphorylation sites. To further confirm that Cdk1 is the kinase responsible for Xbp1 phosphorylation, we overexpressed the Cdk1 inhibitor Sic1 [46]. Sic1 protein has a short half-life and mutations on its phosphorylation sites (Sic1- $\Delta$ 3P, T2A/T5G, T33A and S76A) extends its half-life [46]. Overexpression of the long half-life Sic1- $\Delta$ 3P resulted in dephosphorylation of Xbp1 to the level of Xbp1 3SA mutant. In contrast, Xbp1 remained phosphorylated in WT cells or in cells overexpressing WT Sic1 (Figure 6D). These data strongly support the role of Cdk1 in direct phosphorylation of Xbp1.

To examine whether the Cdk1-mediated phosphorylation serves as a mechanism to regulate Xbp1 function, we examined the survival of WT and *xbp1* $\Delta$  cells expressing empty vector, WT Xbp1 or 3SA mutant in the presence



**Figure 5** Xbp1 slows down nucleosome displacement and ssDNA formation. **(A, B)** Xbp1 delays nucleosome displacement. The *xbp1* $\Delta$  cells in the JKM179 background expressing Xbp1-FLAG under control of its native promoter in pRS315 or empty vector were cultured to exponential phase in raffinose-containing SD-Leu medium. Samples were collected at 0, 40, 80 and 150 min after HO endonuclease was induced by adding 2% galactose. ChIP with antibodies to histone H4 was carried out followed by quantitative PCR. **(C)** Xbp1 slows down ssDNA formation. Input samples from **A** and **B** were used to determine ssDNA levels by QAOS assay as described in Materials and Methods. The asterisks indicate a statistically significant difference, which was analyzed by Student's *t*-test, and data are presented as mean  $\pm$  SEM ( $n = 3$ , \* $P < 0.05$ ; \*\* $P < 0.01$ ). A typical experiment was shown from at least three experiments.



**Figure 6** The DSB binding and DSB repair activities of Xbp1 are regulated by Cdk1-mediated phosphorylation and DNA damage-induced dephosphorylation. **(A)** DNA damage agents induce Xbp1 dephosphorylation. The exponentially growing cells expressing Xbp1-FLAG under control of *ADH* promoter in pRS315 or empty vector (vec) were untreated (-) or treated with either 0.1% MMS, 200 mM HU or 40 μg/ml phleomycin for 1 h. Immunoblotting was performed with total cell lysates. **(B)** Xbp1 is a phosphoprotein. The exponentially growing cells expressing Xbp1-FLAG under control of its native promoter in pRS315 were untreated (-) or treated with 0.1% MMS for 1 h, protein extracts were then prepared. Half of each extract was treated with CIAP; and the remaining half was mock treated. Samples were analyzed by immunoblotting. **(C)** Mutation of S146, S271 and S551 to Ala (3SA) abolishes Xbp1 phosphorylation. Exponentially growing cells expressing Xbp1-FLAG or Xbp1-3SA-FLAG under control of its native promoter in pRS315 or empty vector were treated with either 0.1% MMS, 200 mM HU or 40 μg/ml phleomycin for 1 h. Immunoblotting was performed with total cell lysates. **(D)** Inhibition of Cdk1 activity by Sic1 overexpression decreases Xbp1 phosphorylation. RJD1026 (expressing inducible nondegradable Sic1-Δ3P), W303-1A and RJD1025 (expressing inducible WT Sic1) cells carrying Xbp1-FLAG or Xbp1-3SA-FLAG under control of the *ADH* promoter in pRS315 or empty vector were cultured to the exponential growing phase in raffinose-containing SD-Leu medium. *Sic1* expression was transiently induced by adding 2% galactose. Aliquots were withdrawn every 30 min and processed for immunoblotting. Tubulin served as a loading control. **(E)** Xbp1-3SA increases the survival efficiency of both WT and *xbp1*Δ cells in response to MMS. Survival efficiency of WT and *xbp1*Δ strains expressing WT Xbp1-FLAG or Xbp1-3SA-FLAG under control of the *ADH* promoter in pRS315 or empty vector was determined as described in Materials and Methods. **(F)** The 3SA mutation strengthens the Xbp1-Ume1 interaction. Cell extracts of WT or Ume1-HA cells expressing Xbp1-FLAG, Xbp1-3SA-FLAG under control of its native promoter in pRS313 or empty vector were subjected to anti-HA immunoprecipitation and then anti-FLAG immunoblotting (upper panel), or to direct immunoblotting to verify protein expression (middle and lower panels). The numbers are the relative intensities of the immunoprecipitated protein against the total. **(G)** The 3SA mutation enhances the binding of Xbp1 to DSBs. The *xbp1*Δ JKM179 cells expressing Xbp1-FLAG or Xbp1-3SA-FLAG under control of its native promoter in pRS314 were cultured to the exponential phase in raffinose-containing SD-Trp medium. Samples were collected at 0, 80 and 150 min after HO endonuclease induction. Anti-FLAG ChIP was performed similarly as in Figure 4C. The asterisks indicate a statistically significant difference, which was analyzed by Student's *t*-test, and data are presented as mean ± SEM (*n* = 3, \**P* < 0.05; \*\**P* < 0.01). A typical experiment was shown from at least three experiments.

of MMS. As shown in Figure 6E, Xbp1 3SA mutant promoted the survival rate, even higher than those of the cells expressing WT Xbp1 in both WT and *xbp1Δ* cells, strongly indicating an important role of phosphorylation in regulating Xbp1 activity in DSB repair.

*Dephosphorylation of Xbp1 promotes its association with the Rpd3 complex and subsequent recruitment to DSBs*

To investigate the function of Xbp1 phosphorylation, we first examined whether mutation of the Xbp1 phosphorylation sites affects its binding with the Rpd3 complex. As shown in Figure 6F, 3SA mutation enhanced the Xbp1-Ume1 interaction. Since the Rpd3 complex promotes the recruitment of Xbp1 to the HO-induced DSBs, we therefore studied whether 3SA mutation would also lead to increased recruitment of Xbp1. Indeed, ChIP assay revealed that the 3SA mutation enhanced the binding of Xbp1 to DSBs (Figure 6G and Supplementary information, Figure S3A), without affecting the DSB induction rate (Supplementary information, Figure S3B). These results suggest that DNA damage-induced dephosphorylation of Xbp1 may promote its interaction with the Rpd3 complex and recruitment to DSBs, then facilitating deacetylation of histone H4 by the Rpd3 complex and NHEJ repair.

*Xbp1 is upregulated by DNA damage via the Mec1-Rad9-Rad53 checkpoint pathway*

The *XBPI* promoter contains several stress-regulated elements, and *XBPI* mRNA has been shown to be induced by several stress conditions including MMS [26]. To determine whether the Xbp1 protein level is regulated by DNA damage via the checkpoint pathway, we engineered the *XBPI* genomic locus in WT and checkpoint mutant cells to encode Xbp1 with the C-terminal FLAG tag and assessed the Xbp1 protein level in the presence

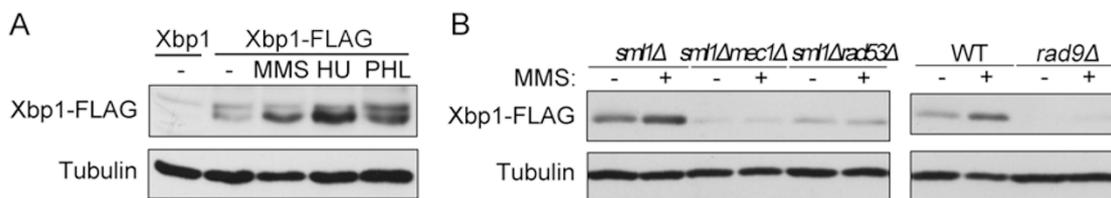
of different DNA damage agents. As shown in Figure 7A, Xbp1 protein was upregulated by MMS, HU or PHL, all of which can cause DSBs [31-33, 47]. In order to investigate whether this induction depends on the checkpoint pathway, we examined the Xbp1 protein levels in cells defective in the checkpoint pathway. *MEC1* and *RAD53* deletion mutants can survive in *sml1* mutation background [48]. Deletion of *SML1* alone had no effect on Xbp1 expression, but the Xbp1 level was very low in untreated *sml1Δmec1Δ*, *sml1Δrad53Δ* and *rad9Δ* cells. Furthermore, these checkpoint mutants curbed the ability of MMS to induce Xbp1 expression, in sharp contrast to the *sml1Δ* or WT cells (Figure 7B). These results demonstrate that the Xbp1 protein level is regulated by the Mec1-Rad9-Rad53 DNA damage checkpoint pathway.

**Discussion**

In this study, we identified *XBPI* as an important gene that facilitates DNA DSB repair via NHEJ in the budding yeast *S. cerevisiae*. Xbp1 can physically and functionally interact with the Rpd3 complex, one of the major HDAC complexes in the budding yeast. Xbp1 promotes deacetylation of histone H4 in the vicinity of DSBs, and this effect is dependent on the Rpd3 complex. Delayed deacetylation of histone H4 occurs concomitantly with increased nucleosome displacement, increased ssDNA formation and reduced NHEJ in *XBPI* deletion cells. In addition, phosphorylation of Xbp1, which is controlled by Cdk1 and DNA damage, is important for regulating its association with the Rpd3 complex, DSB binding and DSB repair activity. Finally, Xbp1 is upregulated by DNA damage in a checkpoint-dependent manner.

*The function of Xbp1 in DNA repair*

Xbp1 has been identified as a stress-induced transcriptional repressor of the Swi4/Mbp1 family [26]. Xbp1 is



**Figure 7** Xbp1 is induced by DNA damage, and the induction is dependent on the checkpoint pathway. **(A)** MMS, HU and phleomycin increase the Xbp1 protein level. Exponentially growing cells expressing Xbp1-FLAG or Xbp1 in the endogenous loci were untreated (-) or treated with either 0.1% MMS, 200 mM HU or 40 μg/ml phleomycin for 1 h. Immunoblotting was performed with total cell lysates. **(B)** The induction of Xbp1 expression by MMS is abrogated in *sml1Δmec1Δ*, *sml1Δrad53Δ* and *rad9Δ* mutants. Exponentially growing WT and various checkpoint mutants cells expressing Xbp1-FLAG in the endogenous loci were untreated or treated with 0.1% MMS for 1 h. Immunoblotting was performed with total cell lysates. Tubulin served as a loading control.

also induced late in the meiotic cycle, and contributes to sporulation through inhibition of *CLN1* expression [27]. Furthermore, the Xbp1-mediated repression of *CLB2* expression is important for morphology changes of yeast cells during nitrogen-limited growth [28]. All these functions of Xbp1 rely on its transcriptional repressor activity. In this study, we uncovered a new function of Xbp1 in DSB repair. Although Xbp1 may indirectly affect DSB repair through regulating the expression of repair genes, our findings indicate that Xbp1 probably contribute to DSB repair directly: (1) it is recruited near a DSB, and its recruitment is probably facilitated by DNA damage-induced dephosphorylation; (2) it regulates histone H4 acetylation, nucleosome displacement and ssDNA formation flanking DSBs; and (3) its expression is regulated by the DNA damage checkpoint pathway.

Chromatin assembly and disassembly have been demonstrated to play an important role in DSB repair [43, 45, 49, 50]. In response to DSBs, nucleosomes near the breaks are removed probably by the cooperation of ATP-dependent chromatin remodelers and histone chaperones [45]. In addition, DNA end resection may also play a role in nucleosome removal [44, 45]. In this study, we observed that *XBPI* deletion leads to increased nucleosome displacement, enhanced ssDNA formation and increased histone H4 acetylation. Xbp1-promoted deacetylation of histone H4 slows down nucleosome displacement, probably either by inhibiting the function of some ATP-dependent chromatin remodelers or histone chaperones or by reducing DNA end resection. It has been shown that mutations in HAT Esa1 reduced recruitment of Rvb1 to DSBs [6, 43], which is a subunit of the INO80 chromatin remodeling complex required for efficient nucleosome displacement at a DSB [44]. Thus, it is interesting to test whether Xbp1-mediated deacetylation of histone H4 inhibits nucleosome displacement through regulating the recruitment of INO80 complex to DSBs.

We found that both MMS and HU could induce the expression and dephosphorylation of Xbp1, while the *XBPI* deletion mutant was sensitive only to MMS. This indicates that Xbp1 may have a different role in the HU-induced DSB repair process. Indeed, we observed that deletion of *XBPI* increased Rad53 phosphorylation under HU treatment (data not shown), suggesting that Xbp1 may be involved in HU-induced checkpoint signaling.

#### *The Rpd3 complex promotes the recruitment of Xbp1 to the vicinity of DSBs to facilitate deacetylation of histone H4*

Although deregulation in global acetylation of both histone H3 and H4 has been linked to DSB repair defect [16, 21], the DSB repair processes may only require changes in the local histone acetylation flanking the le-

sions to construct a microenvironment that can facilitate repair. In addition, regulation of histone acetylation in the region near the damaged sites should be more specific without affecting the undamaged regions. Consistent with this, the NuA4 HAT complex was found to be recruited to a region proximal to a DSB via phosphorylation of H2A [6], and the HATs Gcn5 and Esa1 and the HDACs Rpd3 and Hst1 are recruited to the HO lesion during HR repair [23]. In this study, we showed that Xbp1 can physically and functionally interact with the Rpd3 complex (Figure 2) and act to promote deacetylation of histone H4 flanking a DSB during NHEJ process in a Sin3-dependent manner (Figure 3). In addition, Xbp1 can be recruited to the vicinity of DSBs, which is facilitated by the Rpd3 complex (Figure 4C and 4D and Supplementary information, Figure S2A), and mutation of the Xbp1 phosphorylation sites enhances its association with the Rpd3 complex and then recruitment to DSBs (Figure 6F and 6G and Supplementary information, Figure S3). These observations support the role of Xbp1 as a binding factor of the Rpd3 complex to facilitate deacetylation of histone H4 in the region near DSBs.

Xbp1 can directly bind to DNA [26]. Although there is a potential Xbp1-binding site at about 3.5 kb upstream of the HO cut site in the *S. cerevisiae* genome, our data showed that Xbp1 cannot bind to regions 0.4 or 1.6 kb away upstream of the HO cut site at the *MAT* locus without HO induction (Supplementary information, Figure S4A). This rules out the possibility of pre-binding of Xbp1 near the HO cut site. Furthermore, HO-induced DSB has no effect on Xbp1 expression (Supplementary information, Figure S4B). These data demonstrate that the DNA binding of Xbp1 to the DSB sites is specifically induced by HO.

#### *Xbp1 acts in a positive feedback mechanism to facilitate DSB repair*

It has been demonstrated that Xbp1 is upregulated late in meiosis [27]. Our data showed that the Xbp1 protein level is upregulated in response to DNA damage and this regulation depends on the DNA damage checkpoint pathway (Figure 7). We also found that Xbp1 is phosphorylated by Cdk1 and dephosphorylated on DNA damage, and the unphosphorylated form of Xbp1 (Xbp1-3SA) is more active in repairing MMS-induced DSBs (Figure 6). These data indicate that Xbp1 promotes DNA repair in a positive feedback manner.

Together, our findings suggest the following working model. In response to DSBs, Xbp1 is upregulated and undergoes dephosphorylation. Then, Xbp1 binds to a region near DSBs and promotes the Rpd3 complex-mediated deacetylation of histone H4. Deacetylation of histone H4

may protect the DNA end by slowing down nucleosome displacement and ssDNA formation, and then promote direct religation of the two broken DNA ends by NHEJ.

## Materials and Methods

### Plasmid and strain construction

pRS315-ADH-FLAG or pRS315-FLAG were created by introducing the *ADH* promoter and/or FLAG tag to the multicloning sites of pRS315. pRS315-ADH-XBP1-FLAG was created by introducing full-length *XBP1* gene to pRS315-ADH-FLAG. Mutation of Ser146, Ser271 and Ser551 of Xbp1 by alanine (Xbp1-3SA) was accomplished by PCR. pRS315-XBP1-FLAG was generated by introducing full-length *XBP1* gene and its native promoter upstream of FLAG. pRS314-XBP1, pRS314-XBP1-FLAG or pRS314-XBP1-3SA-FLAG were generated by introducing *XBP1*, *XBP1-FLAG* or *XBP1-3SA-FLAG* and its native promoter to pRS314. pRS314-SIN3 was created by introducing the full-length *SIN3* gene and its native promoter to pRS314. pRS313-XBP1-FLAG or pRS313-XBP1-3SA-FLAG were generated by introducing *XBP1-FLAG* or *XBP1-3SA-FLAG* and its native promoter to pRS313. Two vectors containing FLAG-T<sub>ADH</sub>-URA or HA-T<sub>ADH</sub>-LEU cassettes were generated for C-terminal tagging of proteins.

Yeast strains with complete deletion of the coding sequence of *XBP1*, *RPD3*, *SIN3*, *YKU70* or *RAD52* genes were constructed based on PCR-mediated gene disruption strategy [51]. Construction of double mutant strains was performed by sequential gene disruption. C-terminal tags of Xbp1, Ume1, Rpd3 or Sin3 were constructed by PCR-based gene tagging methods [52]. Strains used in these studies are listed in Supplementary information, Table S1.

### TAP and mass spectrometry analysis

Yeast cells were first cultured in YPD overnight to a stationary phase. Then the cells were diluted and allowed to grow at 30 °C for about 4 h until the OD<sub>660</sub> was between 0.6 and 0.8. Cells were harvested and lysed mechanically with glass beads. TAP purifications were done as described [53, 54].

The eluted proteins from TAP purification were reduced and alkylated with DTT and iodoacetamide, respectively. Digestion was performed with Promega sequencing grade modified trypsin overnight at 37 °C. Peptides were extracted with 10% formic acid and subjected to liquid chromatography tandem MS (LC-MS/MS) analysis.

### DNA damage sensitivity assay and survival efficiency assay

**Sensitivity assay** Yeast cells were first cultured in appropriate medium overnight to a stationary phase. Then the cells were diluted and allowed to grow at 30 °C for about 4 h until the OD<sub>660</sub> was between 0.4 and 0.6. Five-fold dilution series were spotted on YPD or SD-Leu plates containing DNA damage agents as indicated and grown at 30 °C for 2-5 days.

**MMS survival efficiency assay** It was performed similarly as in sensitivity assay except that the strains were diluted and plated onto YPD plates containing 0.02% MMS or no drug. Survival efficiency was calculated by counting colonies plated in triplicate in MMS plates after 4-day growth and normalized to that from no drug plates, respectively. *P*-value was determined using Student's

*t*-test.

### Plasmid religation assay

*Eco*RI linearized pRS313 plasmids (500 ng) were used for yeast transformation with lithium acetate methods. As a transformation-efficiency control, an equal amount of mock-digested circular pRS313 plasmid was also transformed. Religation efficiency was calculated by counting the number of transformants generated from the linearized plasmids and normalized to that from the uncut plasmids after 3-day growth in SD-His plates. *P*-value was determined using Student's *t*-test.

### Immunoblotting and immunoprecipitation

Exponentially growing cells were untreated or treated with 0.1% MMS, 200 mM HU, 40 µg/ml PHL or 2% galactose for the indicated time, trichloroacetic acid (TCA) extracts were prepared as described [55] and proteins were resolved by 7.5% SDS-PAGE. Immunoblotting was performed with anti-FLAG-M2 monoclonal (Sigma), anti-tubulin monoclonal (Abcam), anti-Rad53 polyclonal (Santa Cruz Biotechnology), anti-acetyl-Histone H4 (Upstate) and anti-acetyl-Histone H3 (Upstate) antibodies.

Exponentially growing cell cultures (50 ml) were pelleted, washed two times with TBS (20 mM Tris-HCl, pH 7.6, 150 mM NaCl), and resuspended in 600 µl of lysis buffer (20 mM Tris-HCl, pH 7.5, 100 mM NaCl, 1 mM EDTA, 0.1% Triton X-100, 1 mM PMSF) plus protease inhibitors (Roche). Proper amount of glass beads were added, and the cells were lysed by vortexing for 1 h at 4 °C. After centrifugation, the supernatant was pre-cleared with protein A sepharose 4B beads (Invitrogen) for 1 h to reduce the background. Then, 2 µl anti-HA antibody and 30 µl of protein A sepharose 4B beads were added and incubated at 4 °C overnight. Immunoprecipitates were washed three times with lysis buffer and then subjected to anti-FLAG or anti-HA immunoblotting. For MMS treatment, cell cultures were treated with 0.1% MMS for 1 h. To interfere with DNA-protein interactions, 100 µg/ml (final concentration) ethidium bromide was added to the lysates together with anti-HA antibody and protein A sepharose 4B beads, and then incubated at 4 °C overnight. Band intensity was quantified using BandsScan software.

### ChIP assay

ChIP assay was performed based on the protocol from the Haber Lab (<http://www.bio.brandeis.edu/haberlab/jehsite/protocol.html>) with the following modifications: yeast cells were pre-cultured in glucose-containing SD-Leu, SD-Trp or YPD medium (for detection of Rpd3 enrichment) overnight. Next day, the cells were washed and diluted in raffinose-containing SD-Leu, SD-Trp, SD-Leu/Trp or YEP-lactate medium (for detection of Rpd3 enrichment; OD<sub>660</sub> = 0.4-0.5) and allowed to grow at 30 °C for about 5 h (OD<sub>660</sub> = 0.6-0.7). Then 2% galactose was added to induce HO endonuclease (HO+). At each time point, 45 ml cells were harvested and crosslinked for 15 min at room temperature. After lysis, sonication and centrifugation, the samples were pre-cleared with 30 µl of protein A sepharose 4B beads at 4 °C for 1 h. Then proper amount of anti-acetyl-Histone H4 (Millipore), anti-Histone H4 (Abcam) or anti-FLAG-M2 monoclonal (Sigma) antibodies were added and the samples were incubated at 4 °C overnight. The next day, 40 µl of protein A sepharose 4B beads were added to each sample and incubated at 4 °C for 2 h. Other procedures, including

ChIP washes, elution, reversing crosslink, *etc.* are exactly the same as that described in the protocol from the Haber Lab.

### *NHEJ kinetics analysis*

HO endonuclease was induced in exponentially growing cells by addition of galactose (2% final) for 2 h. Then, glucose (2% final) was added to turn off the expression of HO endonuclease, and cells were allowed to grow for another 4 h. Samples were collected at the indicated time points and the genomic DNA was extracted and subjected to quantitative PCR of the percentage of uncut *MAT* locus. *P*-value was determined using Student's *t*-test.

### *Quantitative PCR*

Immunoprecipitated DNA was analyzed by Mx3000P detection system (Stratagene) using EvaGreen dye (Biotium). HO primers to the regions which are 0.4 kb (SG563: 5'-TCAAC-CATATATAATAACTTAATAGACGACATTC-3' and SG564: 5'-CTAGACGTTTTTCTTTTCAGCTTTTTTG-3') or 1.6 kb (SG573: 5'-GTTCTCATGTCGAGGATTT-3' and SG574: 5'-AGACGTCCTTCTACAACAATTCATAAGT-3') upstream of the HO recognition site on chromosome III, and control primers (SG525: 5'-AATTGGATTTGGCTAAGCGTAATC-3' and SG526: 5'-CTCCAATGTCCCTCAAATTTCTT-3') to a region in *SMC2* on chromosome VI were used [56]. DSB primers (5'-CAGGATAGCGTCTGGAAGTCAAAA-3' and 5'-GAGCAAGACGATGGGAGTTTCAA-3') amplifying the DNA that spans the HO cut site were used to determine the efficiency of DSB induction as described [57].

For analysis of histone acetylation, the ratio of the HO signals (derived from HO primers PCR) to the control signals (derived from control primers PCR) from anti-acetyl-Histone H4 immunoprecipitated samples was first calculated, and then normalized to the same ratio derived from anti-Histone H4 immunoprecipitated samples. For detection of histone H4, Xbp1 or Rpd3 levels near the break, the ratio of the HO signals to the control signals in the immunoprecipitated samples was calculated. For DSB induction efficiency analysis, DSB signals (derived from DSB primers PCR) were normalized to the control signals (derived from control primers PCR) in the input samples from the ChIP experiment. In these experiments, values obtained from the samples before HO endonuclease induction were assigned as one, and then the change after HO endonuclease induction for each group was calculated. For NHEJ kinetics analysis, DSB signals were normalized to the control signals as above. Values obtained from the samples before HO endonuclease induction were assigned as 100%, and then the change after HO endonuclease induction for each group was calculated. *P*-value was determined using Student's *t*-test.

### *QAOS assay*

Input samples from ChIP assays were used to determine ssDNA level at 1.6 kb away from the DSB by QAOS assay as described previously [56, 58]. Briefly, non-boiled or boiled input DNA samples were added to quantitative PCR reaction mixture containing primers HO1-ss (5'-ATCTCGAGCGTCATATCGGATCACACAATTCATAAGTC-3'), HO1-f (SG573) and Tag (5'-ATCTCGAGCGTCATATCGGATCAC-3'). PCR was carried out as follows: step1: 40 °C for 5 min, ramp to 72 °C, at 2 °C/min; step 2: 72 °C for 10 min; step3: 94 °C for 5 min; step4: 94 °C for 30 s, 56 °C for 30 s and 72 °C for 30 s (50 cycles). The ratio of

the signals from non-boiled samples (amplification of ssDNA) to the signals from boiled samples (amplification of total DNA) was calculated to determine the percentage of ssDNA. *P*-value was determined using Student's *t*-test.

### *CIAP dephosphorylation assay*

Exponentially growing cells treated with or without 0.1% MMS for 1 h were washed with 1 ml of 20% TCA and lysed in 100  $\mu$ l of 20% TCA with equal volume of glass beads. Lysates were collected and combined with the washing from the glass beads (100  $\mu$ l of 5% TCA, 2 $\times$ ). TCA was removed by centrifugation and precipitates were re-solubilized by adding 30  $\mu$ l of 2 M Tris-HCl, pH 7.5. Then samples were treated with 60 U of CIAP (TaKaRa) or mock for 30 min at 37 °C. Finally, the samples were subjected to immunoblotting analysis.

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(Supplementary information is linked to the online version of the paper on the *Cell Research* website.)